



Docket Number: 008312-0305620

PATENT APPLICATION

SPW

Client Reference: T4MT-03S0117-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

KATSUO IWATA, et al.

Group Art Unit: 2655

Application No.: 10/651,039

Examiner: Unassigned

Filed: August 29, 2003

Confirmation No.: 8506

For: OPTICAL HEAD AND OPTICAL DISC APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

The undersigned respectfully notes that copies of U.S. references are not required in applications filed after June 30, 2003.

Respectfully Submitted,

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Date: September 16, 2005

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FORM PTO-1449 (modified)
 To: U.S. Department of Commerce
 (PW FORM PAT-1449)
 Patent and Trademark Office

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| Atty. Dkt. No. | M# | Client Ref. |
| | 008312-0305620 | T4MT-03S0117-11 |

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**



Date: September 16, 2005

Page 1 of 1

Applicant: Iwata, Katsuo et al.

Appln. No.: 10/651,039

Filing Date: August 29, 2003

Examiner: Unassigned Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

| Examiner's Initials* | Document Number | Date MM/YYYY | Name (Family Name of First Inventor) | Class | Sub Class | Filing Date (if appropriate) |
|----------------------|-----------------|--------------|--------------------------------------|-------|-----------|------------------------------|
| AR | US 6,188,132 B1 | 02/2001 | Shih et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | Document Number | Date MM/YYYY | Country | Inventor Name | English Abstract | | Translation Readily Available | |
|----|-----------------|--------------|---------|---------------|------------------|----|-------------------------------|----|
| | | | | | Enclosed | No | Enclose | No |
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OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

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|-----|---|--|--|
| YR | Chinese Office Action dated July 29, 2005 for Appln. No. 03155594.2 | | |
| ZR | | | |
| AAR | | | |
| BBR | | | |
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| DDR | | | |

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.